



**UNITED INDIA INSURANCE COMPANY LIMITED
RIGHT TO INFORMATION DEPARTMENT**
Regd. & Head Office, 24, Whites Road, Chennai 14.

REF:HO:RTI:050:2014/APPL.REF.3

Date: 17/04/2014

Dr. Upendra Bhojani
Institute of public Health
#250, Masters Cottage,
Girinagar, 1st Phase,
Bangalore 560 085
Karnataka.

Sir,

Re : Information sought under RTI Act 2005

This has reference to your RTI application dated 27/03/2014 which was received by us on 01/04/2014 along with fees. We are enclosing a receipt for the same.

You have sought for information in connection with the financial investments/stake holding made by our organisation in corporate entities for the period 01/04/2011 till date.

The information sought by you is a matter of commercial confidence exempted under sec. 8(1) (d) of the RTI Act, i.e., disclosure of which would adversely affect the Company's strategies and have an impact on the investment portfolio.

We have referred the matter to the department concerned and give below the information which can be disclosed under the Act.

- b) The Investment is made in different entities in various instruments like Equity shares, debentures, Venture Capital Funds, Term Loans.
- c) The information sought by you is available in our Company's website www.uilc.co.in in the Investment Schedule under Public Disclosure. However we are enclosing the same.
- d) Since investments are made frequently, in various instruments from time to time the percentage of stake holding will vary from time to time.
- e) The investments are made in these entities for varying tenors.
- f) The return on each investment would vary depending upon the period of holding, coupon rate or dividend percentages in each investment.
- g) Information sought by you does not fall under the definition of 'information' as envisaged in sec. 2(f) of the RTI Act 2005.

This is in disposal of your application.

Your right to appeal lies with Smt. Ramma Bhasin, Appellate Authority, 24 Whites Road, Chennai 600 014, within 30 days.

Thanking you,

Yours faithfully,

(L RANGARAJAN)

CENTRAL PUBLIC INFORMATION OFFICER

| FORM NL-12-INVESTMENTS SCHEDULE | | | |
|--|--|----------------------|----------------------|
| UNITED INDIA INSURANCE COMPANY LIMITED | | | |
| INVESTMENTS | | | |
| | Particulars | 31.03.2013 ('000) | 31.03.2012 ('000) |
| LONG TERM INVESTMENTS | | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 47827033 | 38841565 |
| 2 | Other Approved Securities | 10475 | 10475 |
| 3 | Other Investments | | |
| | (a) Shares | | |
| | aa) Equity | 59888936 | 58348951 |
| | bb) Preference | 5076 | 0 |
| | (b) Mutual Funds | 0 | 0 |
| | (c) Derivative Instruments | 0 | 0 |
| | (d) Debentures and Bonds | 24073828 | 19150868 |
| | (e) Other Securities | 0 | 0 |
| | (f) Subsidiaries | 762 | 762 |
| | (g) Investment Properties - Real Estates | 0 | 0 |
| | | 83968602 | 77500581 |
| 4 | Investments in Infrastructure and Social Sector | 40698851 | 35301772 |
| 5 | Other than Approved Investments | 7072801 | 5026564 |
| | SUB TOTAL | 179577762 | 156680957 |
| SHORT TERM INVESTMENTS | | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 2151461 | 2441189 |
| 2 | Other Approved Securities | | |
| 3 | Other Investments | | |
| | (a) Shares | | |
| | aa) Equity | 0 | 0 |
| | bb) Preference | 0 | 8045 |
| | (b) Mutual Funds | 758939 | 715236 |
| | (c) Derivative Instruments | 0 | 0 |
| | (d) Debentures and Bonds | 1481935 | 1626761 |
| | (e) Other Securities - Commercial Papers | 0 | 487441 |
| | (f) Subsidiaries | 0 | 0 |
| | (g) Investment Properties - Real Estates | 0 | 0 |
| | | | |
| 4 | Investments in Infrastructure and Social Sector | 3081261 | 1712493 |
| 5 | Other than Approved Investments | 611435 | 169545 |
| | SUB TOTAL | 8085031 | 7160710 |
| | TOTAL | 187662793 | 163841667 |

| INVESTMENT | as at 2013 | as at 2012 |
|--------------|------------|------------|
| Book Value | 118581873 | 95029740 |
| Market Value | 119734490 | 94831400 |

Notes:

(a) Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.

(i) Holding company and subsidiary shall be construed as defined in the Companies Act, 1956.
(ii) Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to joint control.

(i) Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic activity to obtain benefits from it.
(ii) Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint venture of the company.

(iii) Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of a company, but not control of those policies. Significant influence may be exercised in several ways, for example, by representation on the board of directors, participation in the policymaking process, material inter-company transactions, interchange of managerial personnel or dependence on technical information. Significant influence may be gained by share ownership, statute or agreement. As regards share ownership, if an investor holds, directly or indirectly through subsidiaries, 20 percent or more of the voting power of the Investee, it is presumed that the investor does have significant influence, unless it can be clearly demonstrated that this is not the case. Conversely, if the investor holds, directly or indirectly through subsidiaries, less than 20 percent of the voting power of the investee, it is presumed that the investor does not have significant influence, unless such influence is clearly demonstrated.

A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.

(b) Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market value thereof shall be disclosed.

(c) Investments made out of Catastrophe reserve should be shown separately.

(d) Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to amortisation.

(e) Investment Property means a property [land or building or part of a building or both] held to earn rental income or for capital appreciation or for both, rather than for use in services or for administrative purposes.

(f) Investments maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve months from balance sheet date shall be classified as short-term investments.

| FORM NL-12-INVESTMENTS SCHEDULE | | |
|--|-------------------------|-------------------------|
| UNITED INDIA INSURANCE COMPANY LIMITED | | |
| INVESTMENTS | | |
| Particulars | 31.03.2012 (Rs.'000) | 31.03.2011 (Rs.'000) |
| LONG TERM INVESTMENTS | | |
| 1 Government securities and Government guaranteed bonds including Treasury Bills | 38841563 | 33110086 |
| 2 Other Approved Securities | 10475 | 10475 |
| 3 Other Investments | | |
| (a) Shares | | |
| (aa) Equity | 58348951 | 62904103 |
| (ab) Preference | 0 | 8045 |
| (b) Mutual Funds | | |
| (c) Derivative Instruments | | |
| (d) Debentures/ Bonds | 19150868 | 15501877 |
| (e) Other Securities (to be specified) | 0 | 0 |
| (f) Subsidiaries | 762 | 762 |
| (g) Investment Properties-Real Estate | 0 | 0 |
| 4 Investments in Infrastructure and Social Sector | 35301772 | 31069435 |
| 5 Other than Approved Investments | 5026564 | 3966253 |
| SHORT TERM INVESTMENTS | | |
| 1 Government securities and Government guaranteed bonds including Treasury Bills | 2441189 | 2763086 |
| 2 Other Approved Securities | | |
| 3 Other Investments | | |
| (a) Shares | | |
| (aa) Equity | | |
| (ab) Preference | 8345 | 0 |
| (b) Mutual Funds | 715236 | 642597 |
| (c) Derivative Instruments | | |
| (d) Debentures/ Bonds | 1626761 | 1104000 |
| (e) Other Securities - commercial papers | 487441 | 145707 |
| (f) Subsidiaries | | |
| (g) Investment Properties-Real Estate | | |
| 4 Investments in Infrastructure and Social Sector | 1712493 | 1436517 |
| 5 Other than Approved Investments | 169545 | 4280 |
| TOTAL | 163841567 | 152667223 |

Note:

- (a) Investments in subsidiary/holding company, joint venture and associates shall be separately disclosed, at cost
- (b) Holding company and subsidiary shall be construed as defined in the Companies Act, 1956;
- (c) Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to joint control
- (d) Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic activity to obtain benefit from it
- (e) Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint venture of the company
- (f) Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of a company, but not control of those policies. Significant influence may be exercised in several ways, for example, by representation on the board of directors, participation in the policymaking process, material inter-company transactions, interchange of managerial personnel or dependence on technical information. Significant influence may be gained by share ownership, statute or agreement. As regards share ownership, if an investor holds, directly or indirectly through subsidiaries, 20 percent or more of the voting power of the investee, it is presumed that the investor does have significant influence, unless it can be clearly demonstrated that this is not the case. Conversely, if the investor holds, directly or indirectly through subsidiaries, less than 20 percent of the voting power of the investee, it is presumed that the investor does not have significant influence, unless such influence is clearly demonstrated.
- A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence
- (g) Aggregate amount of the company's investments other than listed equity units and derivative instruments and also the market value thereof shall be disclosed.
- (h) Investments made out of catastrophe reserve should be shown separately.
- (i) Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to amortisation.
- (j) Investment Property means a property (land or building or part of a building or both) held to earn rental income or for capital appreciation or for both, rather than for use in service or for administrative purposes.
- (l) Investments maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve months from balance sheet date shall be classified as short-term investment.

| | as at 2012 | as at 2011 | | as at 2012 | as at 2011 |
|------------|------------|------------|--------------|------------|------------|
| Book Value | 95029740 | 78100752 | Market value | 94831400 | 78236991 |

UNITED INDIA INSURANCE CO - LTD
REGD. & HEAD OFFICE
24, WHITES ROAD
CHENNAI - 600 014

RECEIPT VOUCHER
Form Type : SI

Accounting Centre : 900100
Date : 02/04/2014

Department : 24
Receipt No.: 6

Name of the Insured / Party : DR UPENDER BHUJANI
Particulars : RTI IPO RS10-329735
HO RTI NO 7 DT2-4-14

| Sl No | Description | Main Code | Sub Code | Debit | Amount | TR TYPE | Cross Refrence No | Date |
|-------|------------------------|-----------|----------|-------|--------|---------|-------------------|------|
| 2 | MISCELLANEOUS RECEIPTS | 303000 | 0 | 0.00 | 10.00 | | | |
| | Total | | | 10.00 | 10.00 | | | |

Received with thanks a Sum of Rupees Ten only
by Cash / Cheque

CORPORATION BANK

Cheques subject to realisation

GMB -
Cashier's Initials

For UNITED INDIA CO LTD -
[Signature]
Authorised Signatory
1 of 1

